



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/935,726	08/24/2001	Jing-Shan Hu	PF112P3D1C1

CONFIRMATION NO. 3533

## FORMALITIES LETTER



\*OC000000007152343\*

22195  
HUMAN GENOME SCIENCES INC  
9410 KEY WEST AVENUE  
ROCKVILLE, MD 20850

Date Mailed: 12/04/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- A request to transfer the computer readable form from another application on file at the U.S. Patent and Trademark Office has been submitted as permitted by 37 C.F.R. 1.821(e). However, the request cannot be complied with since there is no compliant CRF present at the United States Patent and Trademark Office. Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)



2002 JAN 25 2002

*A copy of this notice **MUST** be returned with the reply.*

*Almac*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

0095726-02500  
202210 092758660

# RETURN RECEIPT CARD

(MPEP § 503)

**OIPE:**

Please Date Stamp In The  
Box Below And Return To  
Addressee

In re application of: HU et al.

Application Serial No.: 09/935,726

Filing Date: August 24, 2001

Title: Vascular Endothelial Growth Factor



Art Unit: 1647

Examiner: To be assigned

Atty. Docket No.: PF112P3D1C1

**The following documents were filed by Human Genome Sciences, Inc.  
via hand delivery on January 25, 2002**

1. Postcard (1);
2. Fee Transmittal Sheet (in duplicate);
3. Response to Notice to File Corrected Application Papers and Notice to Comply;
4. Substitute Sequence Listing in paper (20 pages) and computer-readable (diskette) forms;
5. Statement Under 37 C.F.R. §§ 1.825(a)-(b);
6. 47 Sheets of Substitute Drawings of Figures 1A-29;
7. Copy of Notice to File Corrected Application Papers; and
8. Information Disclosure Statement, Form PTO/SB/08, copies of AA-FO and (a) Statutory Declaration of John Stanley Mattick, executed on December 12, 2000, and Exhibits JSM1-JSM4; (b) Statutory Declaration of Nicholas Kim Hayward, executed on December 8, 2000, and Exhibits NKH1-2; (c) Statutory Declaration of Jennifer Ruth Gamble, executed on December 12, 2000, and Exhibits JRG1-3; (d) Statutory Declaration of Tom Rapoport, executed on December 13, 2000, and Exhibits TP1-2; (e) Statutory Declaration of Stuart A. Aaronson, executed on December 14, 2000, and Curriculum Vitae of Stuart A. Aaronson; (f) Statutory Declaration of Susan Power, executed on December 13, 2000, Appendices 1-2 and Figure 1; (g) Statutory Declaration of Gary Baxter Cox, executed on December 13, 2000, and Exhibits GBC1 - GBC 23; (h) Statutory Declaration of Peter Adrian Walton Rogers, executed on November 12, 2001, and exhibits PAWR-1 through PAWR-14; (i) Statutory Declaration of Peter Adrian Walton Rogers, executed on February 16, 2000, and exhibit 1; (j) Statutory Declaration of Kari Alitalo executed on February 15, 2000, and exhibits 1-3; (k) Statutory Declaration of Francis John Ballard, executed on February 16, 2000, and exhibit 1; (l) Statutory Declaration of Kari Alitalo, executed on September 24, 2001, and exhibits 1-2; and (m) Statutory Declaration of Francis John Ballard, executed on December 12, 2001 and exhibit 1.

MMW/AKR/lcc

N03CO  
PROX 580

Approved for use through 10/31/2002. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

# FEE TRANSMITTAL

for FY 2002

(Substitute form)

Patent fees are subject to annual revision.

## Complete if Known

Application Number	HU et al.
Filing Date	August 24, 2001
First Named Inventor	09/935,726
Examiner Name	Unassigned
Group Art Unit	1647
Attorney Docket Number	PF112P3D1C1

Total amount of payment \$ 0.00

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 08-3425

Deposit Account Name Human Genome Sciences, Inc.

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.127

☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other\*

## FEE CALCULATION

### BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101	740	201	370	Utility filing fee	
		106	330	206	165	Design filing fee	
		107	510	207	255	Plant filing fee	
		108	740	208	370	Reissue filing fee	
		114	160	214	80	Provisional filing fee	

SUBTOTAL (1) \$0.00

### EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20*	\$18.00	
Multiple Dependent	-3*	\$84.00	
		\$280.00	

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
		103	18	203	9	Claims in excess of 20	
		102	84	202	42	Independent claims in excess of 3	
		104	280	204	140	Multiple dependent claim, if not paid	
		108	84	209	42	** Reissue independent claims over original patent	
		110	18	210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$0.00

\* or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	65	Surcharge - late filing fee or oath	
127	50	25	Surcharge - late provisional filing fee or cover sheet	
139	130	130	Non-English specification	
142	1,280	242	Utility issue fee (or reissue)	
195	300	300	Publication fee for early, voluntary, or normal publication	
179	740	279	Request for Continued Examination (RCE)	
098	130	098	Processing fee, except in provisional applications	
126	180	126	Submission of Information Disclosure Statement	
123	50	123	Processing fee for provisional applications	
146	740	246	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	For each additional invention to be examined (37 CFR 1.129(b))	
145	100	145	Certificate of correction	
147	2,520	147	Request for ex parte reexamination	
099	8,800	099	Request for inter partes reexamination	
148	110	248	Statutory disclaimer	
115	110	215	Extension for reply within first month	
116	400	216	Extension for reply within second month	
117	920	217	Extension for reply within third month	
118	1,440	218	Extension for reply within fourth month	
128	1,960	228	Extension for reply within fifth month	
119	320	219	Notice of appeal	
120	320	220	Filing a brief in support of an appeal	
121	280	221	Request for oral hearing	
122	130	122	Petitions to the Commissioner	
140	110	240	Petition to revive unavailably abandoned application	
141	1,280	241	Petition to revive unintentionally abandoned application	
091	1,280	091	Acceptance of an unintentionally delayed claim for priority	
561	3	561	Printed copy of patent, regular service	
581	40	481	Recording each patent assignment per property (times number of properties)	

Other fee (specify):

Other fee (specify):

Other fee (specify):

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$ 0.00

## Submitted By

Name (Print/Type) Michele M. Wales

Registration No.: 43,975

Telephone: 301-610-5772

Signature: *Michele M. Wales*

Date: 1/25/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.